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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 17079

In Re Application Of: Junji Yamauchi, et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/676,210	October 1, 2003	Unassigned	23389	2874	9725

Title: OPTICAL MULTI-DEMULTIPLEXER



Address to:
Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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November 29, 2004

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Signature of Person Mailing Correspondence

Thomas Spinelli

Typed or Printed Name of Person Mailing Certificate

Dated: **November 29, 2004**

Thomas Spinelli

Reg. No. 39,533

SCULLY, SCOTT, MURPHY & PRESSER

400 Garden City Plaza - Ste. 300

Garden City, NY 11530

(516) 742-4343

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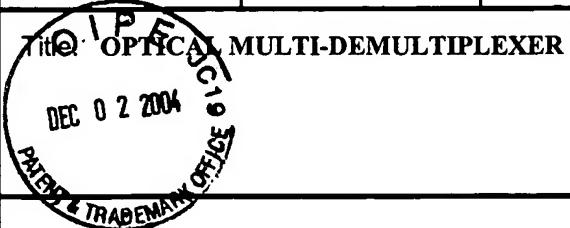
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OR

the fee set forth in 37 CFR 1.17(p).



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Junji Yamauchi, et al.

Examiner: Unassigned

Serial No: 10/676,210

Art Unit: 2874

Filed: October 1, 2003

Docket: 17079

For: OPTICAL MULTI-DEMULITPLEXER **Dated:** November 29, 2004

Conf. No.: 9725

Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Patent Application Publication No. 2002-202420, dated July 19, 2002, together with English-language Abstract;
2. Japanese Patent Application Publication No. 2002-148458, dated May 22, 2002, together with English-language Abstract;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Dated: November 29, 2004

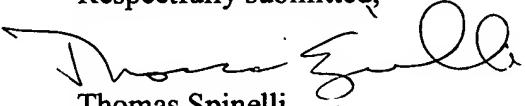

Thomas Spinelli

3. Japanese Patent Application Publication No. 2002-14243, dated January 18, 2002, together with English-language Abstract;
4. International PCT Patent Application Publication No. WO 02/069007 A1, dated September 6, 2002; and
5. United States Patent Application Publication No. 2003/0026544 A1, to Lin, dated February 6, 2003.

The references were cited in a Search Report dated October 6, 2004 received from the European Patent Office. Applicants are submitting copies of reference numbers 1-4, together with a copy of the Search Report. In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June 30, 2003, applicants are not required to submit a copy of the above-cited U.S. Patent reference. The relevance of the above-identified references has been described in the Search Report. Also enclosed herewith is a Statement Pursuant to 37 C.F.R. § 1.704(d).

Further, the undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



Thomas Spinelli

Registration No. 39,533

Scully, Scott, Murphy & Presser
400 Garden City Plaza - Ste. 300
Garden City, New York 11530
(516) 742-4343

TS:jam



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STATEMENT PURSUANT TO 37 C.F.R. § 1.704(d)

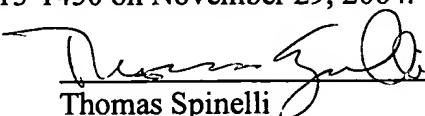
Sir:

I hereby state that each item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

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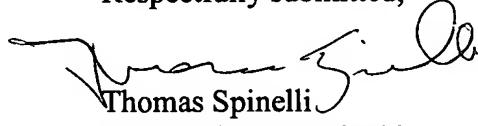
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Dated: November 29, 2004


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Therefore, this Information Disclosure Statement cannot be considered a failure to engage in reasonable efforts to conclude prosecution of the application under paragraphs (c)(6), (c) (8), (c) (9), or (c) (10) of 37 C.F.R. § 1.704.

Respectfully submitted,



Thomas Spinelli
Registration No. 39,533

Scully, Scott, Murphy & Presser
400 Garden City Plaza - Ste. 300
Garden City, New York 11530
(516) 742-4343

TS/jam

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.